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Third party report No:	90002786	Audit Conducted via:	ON-SITE	FCCA Report No:	WGSFCCA08-202307-36279796-SGS
SUBJECT:	FCCA RATING	Factory Type:	New Factory	AUDIT TYPE	INITIAL-NEW
Audit Date:	July 28, 2023	Score:	98.5%	FINAL AUDIT RESULT:	PASS

We would like to thank you for your cooperation during our Factory Capability & Capacity Audit held last **July 28, 2023** (date) at
 (Factory Name/ Factory ID) under **SWASTIK SPORTS FOUNDATION / 36279796**
 (Supplier Name /Supplier ID) _____
 with FCCA score **98.5%** The factory have Non-Compliances (NC) observed during the audit and/or Conditions that were deemed to affect Production and Quality processes.
 Please work closely with your factory to remedy these NCs to prevent future rejection.

The following conditions apply:

- The completed Corrective Action Plan (CAP) by factory management must be accomplished and sent to third party office, **no more than 90 days** from the audit date.
- Follow-up audit (Announced or Un-announced), on the discretion of Walmart's Quality & Technical teams may be conducted after factory's submission of completed/closed Corrective Action Plan (CAP) and validated by 3PS.
- It is also expected that the Supplier on record will closely assist and monitor assigned factory in performing action plans and completion dates indicated.
- **Please note that factory management must strictly implement Corrective Action Plan (CAP) based on target completion dates stipulated on the "Quality Summary" form accomplished by factory. Failure of factory to rectify observed Non-Compliances that widely contributes to factory's poor performance and unacceptable quality may result to cancellation of order/s and will later be reviewed with Sourcing Team if future orders would still be placed.**

Thank you for your usual assistance and support to WALMART's Factory Capability and Capacity Audit (FCCA) Program.

Sincerely,

Arti Tripathi

3PS Factory Auditor/Supervisor

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Score Summary

Category	Total Possible Points	Total Actual Points	Total N/A	Total Adjusted Points	Total Percentage
1.0 Factory Facility & Environment	255	196	39	216	90.7%
2.0 Quality Management System	180	144	45	135	100.0%
3.0 Incoming Material Quality Control	249	162	81	168	96.4%
4.0 Process and Production Control	264	228	30	234	97.4%
5.0 In-House Lab Testing	372	36	345	27	100.0%
6.0 Final Inspection	84	84	0	84	100.0%
7.0 People Resources and Training	75	75	0	75	100.0%
Total Points	1479	925	540	939	98.5%
Final Audit Score	98.5%				

Assessment Criteria:

Score	Rating Guide	Result	Remark	CAP
90 - 100%	A - Good	PASS	Without critical point rating Zero (0)	CAP must be completed MAX 90 days after the audit date
70-89%	B- Acceptable	PASS	Without critical point rating Zero (0)	CAP must be completed MAX 90 days after the audit date
<69%	C- Unacceptable	FAIL		CAP must be completed MAX 90 days after the audit date

IMPORTANT

- All factories must achieve an FCCA score of at least 70%, with all critical control points being met
- CAP to be generated for any score falls in either 1 or 0 and critical/ safety area.
- **Factory which scores zero on CRITICAL questions will be rated "C-Unacceptable"** until corrections are completed and validated by 3rd party auditors and reviewed & approved by WGS MOE/OA team if FAIL CONCESSION can be processed (if FCCA is >70%).
- **Factory must correct all NCs indicated in the CAP within a specific time period or if the completed CAP is not received within 90 days of the audit date, the factory will become Inactive and not eligible to receive a Walmart purchase order until the CAP is received, validated & approved.**

EXECUTIVE SUMMARY

BASIC INFORMATION Swastik Sports Foundation Audited facility address: 24, Mohikampur, Phase I, Delhi Road, Opposite IIA, Bhawan, Meerut. Geographical location: Situated in the industrial area in Meerut (Uttar Pradesh), well connected to national highway Number of buildings/ floors/ condition: 1 building, well maintained. Production/ manufacturing area: 835 square meters. Warehouse area: 90 square meters. Employees' details: 34 Main products: Squeaky Pet balls , Squeaky tennis ball , Non Squeaky Pet balls , Non Squeaky tennis ball. Product experience: 1 year
CAPABILITY Manufacturing processes: Rubber mixing , Cup Shaping process ,Punching & Grinding Joining , Felt cutting & Pasting , Packing and dispatch Manufacturing equipments: Mixing Machine , Hydraulic press Machine , Grinder , Moisture meter , Color box , Hardness Tester etc. Expertise technical team: Dedicated and competent technical team
CAPACITY Production Capacity 2,600,000 Pcs per Months . Manpower: 34 employees Equipments: Mixing Maching , Hydraulic press Machine , Grinder , Moisture meter , Color box , Hardness Tester etc. Working timings: One general shift 9.00 hours to 6.00 hours monday to Saturday, Sunday weekly rest day. Production capacity (installed/ running):Monthly Production Capacity 2,600,000 piece Per Month
QUALITY MANAGEMENT SYSTEM QA system (IQC, IPQC, FQC): Good IQC system developed , QC inspectors were well trained. QA policies/ procedures: Procedures everywhere available based on ISO standard. Product flow/ segregation: Good product flow/ segregation observed. Product testing: Third party Product testing conducted Communication: Good communication system through email, daily meeting at the start of work.. Order execution/ planning: Monthly planning was made and followed up. Equipment calibration: All equipments were calibrated from competent approved agency. Records maintained. Machine maintenance: Under planned maintenance Factory is ISO 9001:2015 certified from Quality research Organization (Certificate no.0630Q183623 , Validity - 30/06/2023 till 29/06/2026)
CONCLUSION/RECOMMENDATION: The facility have formed Quality management system, and they follow the production process as per the quality standard i.e ISO 9001-2015 , and imparts quality trainings to all it's key personnels on regular basis . Top management involves in regular MRM where it discuss the key objectives and have detailed analysis month wise . The weaker area was some areas such as : Inadequate lux In- coming Materials inspection area, Inadequate lux in Final QC area, In coming fabric inspection on cutting table .Raw Material stored on floor , which can use dust contamination. Tags / Labels found stored on floor without identifications. Factory has stored Finished goods on Stainless steel bars instead of storing on Pellets. Conclusion As per rating in audit summary sheet the result found acceptable. The facility have adequate capability to produce DOG TOYS for SCGS-SAM'S CLUB Global Sourcing.
0* score critical check points are: Nil 1* score critical check points are: Nil

See Corrective Action Plan (CAP)

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